

## Living Supports and Inclusion Services (61 Total Tags / 19 CoPs)

Tags in **"RED"** signify a Condition of Participation based on a negative outcome or the potential for a negative outcome to occur.

	TAG #	Description
<b>Service Domain: Service Plans: ISP Implementation</b> – Services are delivered in accordance with the service plan, including type, scope, amount, duration and frequency specified in the service plan.		
1.	1A08	Administrative Case File (Other Required Documents)
2.	1A08.3	Administrative Case File: Individual Service Plan / ISP Components (CoP if below 85% compliance)
3.	1A08.1	Administrative and Residential Case File: Progress Notes
4.	1A32	Administrative Case File: Individual Service Plan Implementation (CoP if below 85% compliance)
5.	1A32.1	Administrative Case File: Individual Service Plan Implementation (Not Completed at Frequency)
6.	1A32.2	Individual Service Plan Implementation (Residential Implementation)
7.	1A32.3	Individual Service Plan Implementation (Inclusion Service Site Implementation)
8.	5I02	Community Inclusion: Scope of Services: CCS Observation
9.	IS03	Informed Choice
10.	IS04	Community Life Engagement
11.	1A38	LS / IS Reporting Requirements
12.	1A38.1	LS / IS Reporting Requirements (Reporting Components)
13.	IS12	Person Centered Assessment (Inclusion Services)
14.	IS12.1	Person Centered Assessment Components
15.	LS14	Residential Service Delivery Site Case File (ISP and Healthcare Requirements) (CoP if below 85% compliance)
16.	LS14.1	Residential Service Delivery Site Case File (Other Required Documentation)
17.	IS14	CCS / CIES Service Delivery Site Case File (ISP and Healthcare Requirements) (CoP if below 85% compliance)
18.	IS14.1	CCS / CIES Service Delivery Site Case File (Other Required Documentation)
<b>Service Domain: Qualified Providers</b> – The State monitors non-licensed/non-certified providers to assure adherence to waiver requirements. The State implements its policies and procedures for verifying that provider training is conducted in accordance with State requirements and the approved waiver.		
19.	1A20	Direct Support Personnel Training (CoP if below 85% compliance)
20.	1A22	Agency Personnel Competency (CoP if below 85% compliance)
21.	1A25	Caregiver Criminal History Screening
22.	1A25.1	Caregiver Criminal History Screening (CoP)
23.	1A26	Consolidated On-line Registry Employee Abuse Registry

24.	1A26.1	Consolidated On-line Registry Employee Abuse Registry (CoP)
25.	1A37	Individual Specific Training (CoP if below 85% compliance)
26.	1A42	DDSD Provider Agreement
27.	1A43	General Events Reporting: Policy not followed or implemented
28.	1A43.1	General Events Reporting: Individual Reporting
29.	1A44	DDW Provider Access
<p><b>Service Domain: Health, Welfare and Safety</b> – The state, on an ongoing basis, identifies, addresses and seeks to prevent occurrences of abuse, neglect and exploitation. Individuals shall be afforded their basic human rights. The provider supports individuals to access needed healthcare services in a timely manner.</p>		
30.	1A08.2	Administrative Case File: Healthcare Requirements & Follow-up (CoP if below 85% compliance)
31.	1A03	Continuous Quality Improvement System & KPIs
32.	1A05	General Requirements / Agency Policy and Procedure Requirements (CoP)
33.	1A07	Social Security Income (SSI) Payments (CoP)
34.	1A09	Medication Delivery Routine Medication Administration (CoP if below 85% compliance)
35.	1A09.0	Medication Delivery Routine Medication Administration
36.	1A09.1	Medication Delivery PRN Medication Administration (CoP if below 85% compliance)
37.	1A09.1.0	Medication Delivery PRN Medication Administration
38.	1A09.2	Medication Delivery Nurse Approval for PRN Medication (CoP)
39.	1A15	Healthcare Documentation - Nurse Availability (CoP)
40.	1A15.2	Administrative Case File: Healthcare Documentation (Therap and Required Plans) (CoP if below 85% compliance)
41.	1A27.2	Duty to Report IRs Filed During On-Site and/or IRs Not Reported by Provider
42.	1A29	Complaints / Grievances Acknowledgement
43.	1A31	Client Rights/Human Rights (CoP)
44.	1A31.2	Human Right Committee Composition
45.	1A33	Board of Pharmacy: Med. Storage
46.	1A33.1	Board of Pharmacy - License
47.	1A39	Assistive Technology and Adaptive Equipment
48.	1A50.1	Individual: Scope of Services (Individual Interviews)
49.	LS06	Family Living Requirements
50.	LS25	Residential Health & Safety (Supported Living & Family Living)
51.	LS25.1	Residential Reqts. (Physical Environment - Supported Living / Family Living / Intensive Medical Living) (CoP)

**Service Domain: Medicaid Billing/Reimbursement** – State financial oversight exists to assure that claims are coded and paid for in accordance with the reimbursement methodology specified in the approved waiver.

52.	1A12	All Services Reimbursement (Used when there are no deficiencies in billing)
53.	IS25	Community Integrated Employment Services / Supported Employment Reimbursement
54.	5I36	Community Access Reimbursement
55.	5I44	Adult Habilitation Reimbursement
56.	IS30	Customized Community Supports Reimbursement
57.	LS26	Supported Living Reimbursement
58.	LS27	Family Living Reimbursement
59.	6L28	Independent Living Reimbursement
60.	IM31	Intensive Medical Living Services Reimbursement
61.	IH32	Customized In-Home Supports Reimbursement

## **Case Management Services (43 Total Tags / 14 CoPs)**

Tags in **"RED"** signify a Condition of Participation based on a negative outcome or the potential for a negative outcome to occur.

	TAG #	Description
<b>Service Domain: Plan of Care - ISP Development &amp; Monitoring</b> – Service plans address all participants’ assessed needs (including health and safety risk factors) and goals, either by waiver services or through other means. Services plans are updated or revised at least annually or when warranted by changes in the waiver participants’ needs.		
1.	1A08	Administrative Case File
2.	<b>1A08.3</b>	<b>Administrative Case File – Individual Service Plan / ISP Components (CoP if below 85% compliance)</b>
3.	1A08.4	Assistive Technology Inventory List
4.	4C01	Case Management Services – Individual Assistance
5.	4C01.1	Case Management Services – Utilization of Services
6.	4C01.4	Case Management Services – Case Manager Advocacy
7.	4C02	Scope of Services - Primary Freedom of Choice
8.	<b>4C07</b>	<b>Individual Service Planning (Visions, measurable outcome, action steps) (CoP if below 85% compliance)</b>
9.	<b>4C07.1</b>	<b>Individual Service Planning – Paid Services (CoP if below 85% compliance)</b>
10.	4C07.2	Person Centered Assessment and Career Development Plan
11.	4C08	ISP Development Process
12.	4C09	Secondary FOC
13.	<b>4C10</b>	<b>Apprv. Budget Worksheet Waiver Review Form / MAD 046 (CoP if below 85% compliance)</b>
14.	<b>4C12</b>	<b>Monitoring &amp; Evaluation of Services (CoP if below 85% compliance)</b>
15.	4C12.1	Monitoring & Evaluation of Services (IDT Meetings, including Significant Life Events)
16.	4C15.1	Service Monitoring: Annual / Semi-Annual Reports & Provider Semi – Annual / Quarterly Report
17.	<b>4C16</b>	<b>Req. for Reports &amp; Distribution of ISP (Provider Agencies, Individual and / or Guardian) (CoP if below 85% compliance)</b>
18.	4C16.1	Req. for Reports & Distribution of ISP (Regional DDSD Office)
<b>Service Domain: Level of Care</b> – Initial and annual Level of Care (LOC) evaluations are completed within timeframes specified by the State.		
19.	<b>4C04</b>	<b>Assessment Activities (CoP if below 85% compliance)</b>
20.	4C05	Review & Approval of the LTCAA by TP Contractor
<b>Service Domain: Qualified Providers</b> – The State monitors non-licensed/non-certified providers to assure adherence to waiver requirements. The State implements its policies and procedures for verifying that provider training is conducted in accordance with State requirements and the approved waiver.		
21.	<b>1A22 / 4C02</b>	<b>Case Manager: Individual Specific Competencies (CoP if below 85% compliance)</b>

22.	1A22.1 / 4C02.1	<b>Case Manager Competencies: Knowledge of Service (CoP if below 85% compliance)</b>
23.	1A25	<b>Caregiver Criminal History Screening</b>
24.	1A25.1	<b>Caregiver Criminal History Screening (CoP)</b>
25.	1A26	<b>Consolidated On-line Registry Employee Abuse Registry</b>
26.	1A26.1	<b>Consolidated On-line Registry Employee Abuse Registry (CoP)</b>
27.	1A28.4	<b>Incident Mg: Case Manager Knowledge of Responsibility of IMB Notification</b>
28.	1A40	<b>Provider Requirement: Accreditation</b>
29.	1A43.2	<b>General Events Reporting: Monitoring by Case Mgr</b>
30.	4C14	<b>Administrative Requirements</b>
31.	4C15	<b>Administrative Requirements: Monitoring and Tracking</b>
32.	4C17.1	<b>Case Manager Qualifications: Credentials &amp; Code of Ethics</b>
33.	4C18	<b>Conflict of Interest</b>
34.	4C19	<b>Staff Ratio</b>
35.	4C20	<b>Supervision Requirement</b>
<b>Service Domain: Health, Welfare and Safety</b> – <i>The state, on an ongoing basis, identifies, addresses and seeks to prevent occurrences of abuse, neglect and exploitation. Individuals shall be afforded their basic human rights. The provider supports individuals to access needed healthcare services in a timely manner.</i>		
36.	1A03	<b>Continuous Quality Improvement System &amp; KPIs</b>
37.	1A05	<b>General Requirements (CoP)</b>
38.	1A08.2	<b>Administrative Case File: Healthcare Requirements &amp; Follow-up (CoP if below 85% compliance)</b>
39.	1A15.2	<b>Administrative Case File: Healthcare Documentation (Therap and Required Plans) (CoP if below 85% compliance)</b>
40.	1A27.2	<b>Duty to Report IRs Filed During On-Site and/or IRs Not Reported by Provider</b>
41.	1A29	<b>Complaints / Grievances - Acknowledgement</b>
<b>Service Domain: Medicaid Billing/Reimbursement</b> – <i>State financial oversight exists to assure that claims are coded and paid for in accordance with the reimbursement methodology specified in the approved waiver.</i>		
42.	1A12	<b>All Services Reimbursement</b>
43.	4C21	<b>Case Management Reimbursement</b>